

# AES Newsletter

Volume 1, Issue 7

June 2000

## FINAL RULE - EXPORTER (U.S. PRINCIPAL PARTY IN INTEREST) AND AGENT RESPONSIBILITIES FOR FILING SED DATA

The final rule containing amendments to the Foreign Trade Statistics Regulations and the Bureau of Export Administration Regulations was published in the *Federal Register*, Volume 65, No. 132, pages 42556 – 42575, on Monday July 10, 2000. The rule which amends the Foreign Trade Statistics Regulations clarifies the responsibilities of exporters and forwarding or other agents in completing the Shipper's Export Declaration (SED) or filing the information electronically using the Automated Export System (AES); clarifies provisions for authorizing forwarding or other agents to prepare and file export data using the SED or AES on behalf of a principal party in interest; clarifies provisions on electronic transmissions of intangible transfers of software and

technology; updates provisions related to mail shipments and certain related SED exemptions; notifies the public that the appropriate "Exporter" fields on the SED and in AES will be revised to read "U.S. Principal Party in Interest" and require the reporting of the U.S. Principal Party in Interest Employer Identification Number or other identification number; clarifies what information should be listed in the revised fields and clarifies provisions for providing import verification to the Census Bureau.

The new rule becomes effective on July 10, 2000 with a 90-day grace period. Until October 10, 2000 Shipper's Export Declaration data will be accepted with information that complies with the rules prior to July 10, 2000. However, the Census Bureau is encouraging all users to begin following the new rules effective July 10, 2000 if possible. ♦

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## REFLECTIONS

AES is an innovative and progressive system, growing and changing with the needs of the export community. As originally implemented in 1995, AES allowed for pre-departure filing of Shipper's Export Declaration and vessel outbound Manifest data. In response to the needs of the trade, post departure filing options were implemented. AES has been enhanced to accommodate Internet-based filing through *AESDirect* and through an Internet application, *AESWebLink*. The success of AES is attributed to the joint efforts of the government and the trade. ♦

## INSIDE THIS ISSUE

- 1 Exporter of Record Regulation and Reflections
- 2 AES Awards, AES Public Meetings and *AESWebLink*
- 3 AESTIR Changes
- 4 AES and *AESDirect* on the Road
- 5 Notices and AES Statistics
- 6 Phone Numbers, Email Addresses and Web Sites



### **DIRECTOR'S AWARD for INNOVATION for AES*Direct***

On May 2, the AES*Direct* Team, Harold Blyweiss, Dorothy Brown, Sandra Clow, Gerry Horner, Don Koller, Joey Morales, Blake Sanders, Jacqueline Wilson and Chuck Woods, received Honorable Mention for the Director's Award for Innovation for AES*Direct*. This award was established to communicate the priority that the U.S. Census Bureau puts on innovation. It recognizes employees and teams that make significant contributions to the work methods and products of Census and the accomplishment of its mission. ♦

### **AES MEETINGS**

We have scheduled the following AES meetings:

Wednesday, July 12, 2000  
9:00am – 4:00pm  
Department of Commerce Auditorium  
14<sup>th</sup> Street and Constitution Avenue NW  
Washington, DC 20230

Wednesday, August 16, 2000  
10:00am – 4:00pm  
Port of Seattle, Pier 69  
2711 Alaskan Way  
Seattle, WA 98121

Wednesday, September 13, 2000  
9:00am – 4:00pm  
Furama Hotel at LAX  
Los Angeles, CA

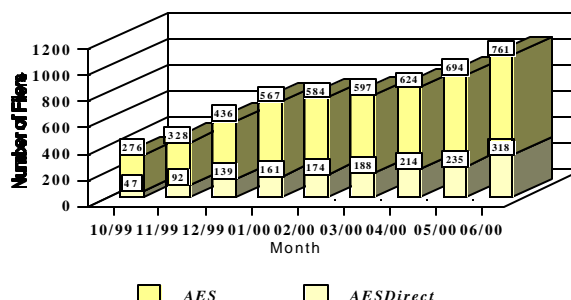
Thursday, September 14, 2000  
9:00am – 4:00pm  
Port of Los Angeles Building  
Los Angeles Seaport  
Los Angeles, CA

### **HAMMER AWARD for AES**

The U.S. Census Bureau, the U.S. Customs Service, Roadway Express International and the Association of Laredo Forwarding Agents were awarded the Hammer Award on March 22 by Mrs. Maria Reba, Director of Field Operations, South Texas Customs Management Center for the National Performance Review. The 27 member Laredo Outreach Team was recognized for their coordinated efforts to convert exporters and forwarding agents using the port of Laredo to the Automated Export System.

The Hammer Award sponsored by Vice President Gore's National Performance Review recognizes teams that make government work better, cost less and get results that Americans care about. Census Bureau members of the team recognized were C. Harvey Monk, Jr., Chief of the Foreign Trade Division, Anita Brown, Dorothy Brown and Paul Newman. ♦

### **AES FILERS**



### **AESWebLink**

AES*WebLink*, the enhancement to AES*Direct* that allows an Internet application to pass data to AES*Direct*, is being tested. Implementation is planned for late July. If you have such an application and wish to participate, contact Mr. Robert Foley on (301) 562-7790 ext. 12. The AES Branch will develop criteria for the approval of each application. For more information, contact Dorothy Brown on (301) 457-6855. ♦

## AESTIR Changes – June 2000

The following is a list of changes to the AESTIR dated April 2000 that are effective for June 2000. No programming changes were required as a result of these changes. There were no changes for May 2000. Log onto the web site, [www.customs.gov/AES](http://www.customs.gov/AES), for complete information regarding these and other changes.

### **CHANGES**

*Removes all references to AES-PASS which expired on April 1, 2000*

*Lets filers know that they can switch between Options 2 and 3, depending on the amount of information known at the time of export*

*Adds IATA Codes ‘ \*\* ’ and ‘990’ for reporting Flyaway Aircraft*

*Identifies Intermediate Consignee as an optional party type*

*Designates the Length/Class for data elements “Data Identifier” and “Filler” as “AN”*

*Adds the list of HTSUSA Numbers That Can Not Be Reported for Exports in AES*

## Harmonized Tariff Schedule Classification Number Changes Effective July 1, 2000

### ***The following HS numbers become obsolete***

0139200000	0201308000	1605401000
7011208000	7326908585	

### ***The following HS numbers are added***

0103920010	0103920090	0201308010
0201308090	1605401010	1605401090
7011208010	7011208020	7011208030
7011208040	7326908535	7326908586

Information regarding these changes can be found on the United States International Tariff Commission web site, [www.usitc.gov/taffairs.htm](http://www.usitc.gov/taffairs.htm).

## AES Statistics

### **AES – July 6, 2000**

Filers – 761  
LOIs – 1,181  
Shipments – 585,310  
Option 4 Applicants – 1, 918  
Option 4 Approvals – 1,811

### **AESDirect – July 5, 2000**

Registrants - 972  
Operational - 319  
Shipments – 276,922

## **AES and AES*Direct* on the Road**

Staff from the AES Branch will be making presentations and conducting workshops at various trade shows and meetings. Some of these trade shows and meetings are sponsored by private concerns and a fee may be charged. Our participation is intended to dispense information and does not imply endorsement of the sponsor.

<b><u>Date</u></b>	<b><u>Trade Show/Meeting</u></b>	<b><u>Contact Phone No.</u></b>
Jul 10-11	BXA Update - East, Washington, DC	(301) 457-2238
Jul 12	AES Public Meeting, Washington, DC	(301) 457-3787
Jul 27	AES <i>Direct</i> Workshop, New York, NY	(301) 457-3787
Aug 16	AES Seminar, Seattle, WA	(301) 457-3787
Aug 22	AES <i>Direct</i> Workshop, Pittsburg, PA	(301) 457-3787
Aug 23	AES <i>Direct</i> Workshop, Erie, PA	(301) 457-3787
Sep 13-15	Virginia Conference on World Trade Roanoke, VA	(301) 457-6855
Sep 13	AES Public Meeting, Los Angeles, CA LA Airport	(301) 457-3787
Sep 14	AES Public Meeting, Los Angeles, CA LA Seaport	(301) 457-3787
Nov 12-14	TRANSCOMP 2000 (NITL) Fort Lauderdale, FL	(301) 457-6855
Nov 15	AES Public Meeting, Fort Lauderdale, FL	(301) 457-6855

We are planning AES*Direct* workshops in various parts of the country. These workshops are designed for the serious filer who desires information and immediate registration to use AES*Direct*. If you are interested in having one of these workshops in your area or if you or any organization you know of would like to sponsor a meeting or desire our participation in a meeting, please contact:

***Dorothy Brown***  
***(301) 457-6855***

E-mail: ***dorothy.lee.brown@ccmail.census.gov***

## NOTICES

### ERROR MESSAGE - 836

The error message 836 VERIFY – VALUE TOO LOW FOR COMMODITY, generated from specific Harmonized Tariff Numbers has been fixed.

### VALUE

The value reported for export shipments should be reported in **U.S. WHOLE DOLLARS**. *Do not report cents, decimals, or foreign currency.*

### RESPONSE TIME

We have worked hard to improve the response times. In order for us to evaluate our efforts, we would appreciate feedback from the filers. If there is any filer experiencing long response delays, please contact one of your client reps.

### CORRECT CLASSIFICATION CODES

Contact the Commodity Analysis Branch for the correct Schedule B Commodity Classification Numbers for your exports. Contact your Client Rep for help with determining other proper codes to be used for your shipments.

### ZERO VALUE FOR DEPARTMENT OF STATE LICENSED SHIPMENTS

A Department of State licensed shipment for which there is no value is not accepted by AES and fatal error, 137 FATAL ERROR – ZERO VALUE UNACCEPTABLE, is being returned to the filer. For information regarding these shipments and other Department of State licensing issues, contact Marie Cosme-Rittenberg on (703) 921- 7293.

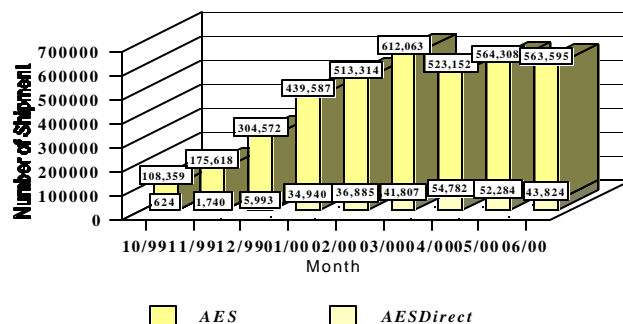
### Schedule K - FOREIGN PORT CLASSIFICATION

Information regarding Schedule K foreign port codes can be found on the Maritime Administration's web site, [www.marad.dot.gov/statistics/schedulek.html](http://www.marad.dot.gov/statistics/schedulek.html).

#### PORT of EXPORT

The port of export is defined as the Customs Port where the cargo is laden on the carrier taking the merchandise to a foreign country or nonforeign area. For overland shipments, the Customs port where or nearest to where the carrier or person crosses the border. Customs can require all shipments reported through AES to be shown for inspection at the port reported. Do not report the port where the data are entered into AES. ♦

#### AES SHIPMENTS



### **AESDirect Batch Filing**

AESDirect accommodates batch filing in the Customs Proprietary and X-12 formats. The Customs Proprietary format as outlined in the AESTIR is accepted in AESDirect, however, only the X-12 format as outlined in AESDirect is acceptable."

### **We need your e-mail address**

Please send your e-mail address to:

[AESLOI@ccmail.census.gov](mailto:AESLOI@ccmail.census.gov)

Include in the message the name of the company and the name of the contact person. This helps us get information to you faster and timely. ♦

### **PHONE NUMBERS AND E-MAIL ADDRESSES**

GERRY HORNER, CHIEF, AES BRANCH (301) 457-6856  
DOROTHY BROWN, AESDIRECT LIAISON (301) 457-6855  
WENDY PEEBLES, SUPERVISORY (301) 457-3540

#### **CLIENT REPRESENTATIVE**

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#### **REGULATIONS BRANCH (SED QUESTIONS):**

(301) 457-2238

#### **COMMODITY ANALYSIS BRANCH (SCHEDULE B CLASSIFICATION QUESTIONS):**

DURABLE GOODS: (301) 457-3259  
NON-DURABLE GOODS: (301) 457-3484

#### **BUREAU OF EXPORT ADMINISTRATION (LICENSING QUESTIONS)**

WASHINGTON, DC (202) 482-4811  
WESTERN REGIONAL OFFICE (714) 660-0144

#### **U.S. CUSTOMS-AES MARKETING**

LESLIE ALBERTSON (703) 921-7470 [LESLIE.S.ALBERTSON@CUSTOMS.TREAS.GOV](mailto:LESLIE.S.ALBERTSON@CUSTOMS.TREAS.GOV)

### **WEB SITES**

CENSUS BUREAU, FOREIGN TRADE DIVISION [HTTP://WWW.CENSUS.GOV/FOREIGN-TRADE/WWW](http://www.census.gov/foreign-trade/www)  
U.S. CUSTOMS SERVICE [HTTP://WWW.CUSTOMS.TREAS.GOV/AES](http://www.customs.treas.gov/aes)  
BUREAU OF EXPORT ADMINISTRATION: [HTTP://WWW.BXA.DOC.GOV](http://www.bxa.doc.gov)